



SHINKIN CENTRAL BANK

GLOBAL USA PATRIOT ACT CERTIFICATION

Shinkin Central Bank hereby posts this Global Certification pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001(Public Law 107-56).

The attached Global Certification may be used by any Covered Financial Institution(as defined in the USA Patriot Act)that requires a Certification from Shinkin Central Bank, Head Office.

We request to use this Global Certification for the purpose of Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001(Public Law 107-56).

GLOBAL CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR SHINKIN CENTRAL BANK

The Information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001(Public Law 107-56).

This Certification should be completed by any foreign bank that maintains a correspondent account with any U.S.bank or U.S.broker-dealer in securities (a covered financial institution as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A foreign bank is a bank organized under foreign law and located outside of the United States(see definition at 31 CFR 1010.100(u)). A bank includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state(see definition at 31 CFR 1010.100(d)).*

A Correspondent Account for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S.banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S.branches and offices of the same U.S.bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

A. The undersigned financial institution, Shinkin Central Bank and its office in the attached list ("SCB") hereby certify as follows:

B. Correspondent Accounts Covered by this Certification:

This Certification applies to all accounts established for SCB by Covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status:

SCB maintains a physical presence and is a regulated branch.

That means SCB in the attached list has a place of business at the street address set forth in the attached list, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities. It is authorized to conduct banking activities in the country where its place of business is located and is subject to inspection by the banking authority identified in the attached list that licensed SCB to conduct banking activities.

D. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. SCB hereby certifies that they do not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

SCB has no owner(s). For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of SCB; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of SCB. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family shall be considered one person.

F. Process Agent:

Shinkin Central Bank New York Representative Office is a resident of the United States at the following street address:

655 Third Avenue, Suite 2620, New York, NY 10017, U.S.A, and is authorized to accept service of legal process on behalf of SCB from the Secretary of the Treasury or the

Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.


G. General

SCB hereby agrees to notify in writing each Covered Financial Institution at which they maintain any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

SCB understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States.

SCB further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Kozo Harada (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of SCB.



Kozo Harada
General Manager
International Operations Center

Executed 1 April, 2023

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

List of SCB

Name	Physical address	Country	Status(Physical Presence, Regulated, or Neither)	Inspecting Banking Authority
Head Office	3-7 Yaesu 1-chome Chuo-ku, Tokyo 103-0028,Japan	Japan	Physical Presence	The Financial Services Agency of Japan